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JC518 U.S. PTO  
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09/145167  
09/01/98

The Assistant Commissioner of Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231  
**ATTN: Box Patent Application**

Re: U.S. Utility Patent Application  
Appl. No. (Not yet assigned); Filed: 1 SEPTEMBER 1998  
For: **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**  
Inventors: **FERNANDEZ et al.**  
Docket No.: **FERN-P004**

Sir:

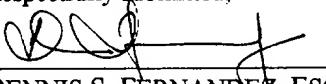
The following documents are forwarded herewith for action by the U.S. Patent and Trademark Office:

1. U.S. UTILITY APPLICATION  
entitled: **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**  
having named inventor(s):  
**FERNANDEZ et al.**
  - a. a specification consisting:
    - (i) 23 pages prior to the claims, including title page;
    - (ii) 5 pages of claims;
    - (iii) 1 page abstract;
  - b. 3 sheets of **informal** drawings: (FIGs. 1-7 );
2. An original, executed Declaration by named inventors;
3. Form PTO-1082 (in duplicate);
4. A return post card; and
5. Check No. 0102 for \$ 395.00 to cover:  
Patent application filing fee: \$ 395.00  
Excess claims fee: \$ 0.00
6. Verified Small Entity Status Statement with Cover Sheet
7. Information Disclosure Statement and Form PTO 1449

It is respectfully requested that the attached postcard be stamped with the filing date of the above documents and unofficial application number and returned to the addressee as soon as possible.

Respectfully submitted,

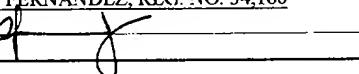
1 September 1998  
Date

  
DENNIS S. FERNANDEZ, ESQ.  
Reg. No. 34,160

**DENNIS & IRENE FERNANDEZ LLP**  
**PATENT ATTORNEYS**  
2085 PORTOLA ROAD  
WOODSIDE, CA 94062-2639  
(650) 529-9237  
(650) 529-9315 : FAX  
EMAIL: *iploft@iploft.com*

**Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c)**  
 "EXPRESS MAIL" Mailing Label Number: EH846208026US Date of Deposit: 1 SEPTEMBER 1998  
 I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner For Patents, Washington, DC 20231.

Name: DENNIS S FERNANDEZ, REG. NO. 34,160

Signature 

1 SEPTEMBER 1998

Signature Date

Docket No. : FERN-P004

## APPLICATION TRANSMITTAL LETTER

Assistant Commissioner of Patents  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
**ATTN: BOX PATENT APPLICATION**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **FERNANDEZ et al.**

Entitled: **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**

29 No. pages of specification, including title page, claims and abstract

3 No. sheets of X informal,        formal drawings (**FIGS. 1-7**)

Also enclosed are:

- Executed Declaration for Patent Application
- An Original Executed Assignment of the Application
- Form PTO-1595 (Recordation Cover Sheet for Assignment)
- Verified Statement Claiming Small Entity Status with Cover Sheet
- An Information Disclosure Statement (Form PTO-1449A and Form PTO-1449B)
- A copy of References cited in Information Disclosure: 3 documents (*non-patents*)

### **FEES DUE**

The fees due for filing the application pursuant to 37 C.F.R. 1.16 and for recording the Assignment, if any, are determined as follow:

<b>CLAIMS</b>					
	No. of Claims		Extra Claims	Rate	Fees
<b>Basic Application Fee (\$790 large entity; \$395 small entity)</b>					<b>\$ 395.00</b>
Total Claims	20	Minus 20 =	0	X \$22 = X \$11 (small) =	0.00
Total Independent Claims	3	Minus 3 =	0	X \$82 = X \$41 (small) =	0.00
If Multiple Dependent Claims are presented, add \$250.00					
If Assignment enclosed, add Assignment Recording Fee \$40.00					0.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$ 395.00</b>

### **PAYMENT OF FEES**

The full fee due in connection with this communication is \$ 395.00  
 and is provided as follows:

- The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.
- A Check No. 0102 for the above specified full fee is enclosed. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

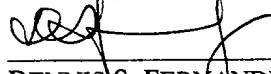
This application is filed pursuant to 37 C.F.R. 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following address:

**DENNIS & IRENE FERNANDEZ LLP**  
**PATENT ATTORNEYS**  
2085 PORTOLA ROAD  
WOODSIDE, CA 94062-2639

(650) 529-9237  
(650) 529-9315 : FAX  
EMAIL: *iploft@iploft.com*

Respectfully submitted,



DENNIS S. FERNANDEZ, ESQ.  
Reg. No. 34,160

1 September 1998

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Application No.: (Not yet assigned)

Group No.: (Not yet assigned)

Filed: 1 SEPTEMBER 1998

Examiner: (Not yet assigned)

Title: **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**

Inventors: **FERNANDEZ *et al.***

Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF VERIFIED STATEMENT  
TO ESTABLISH SMALL ENTITY STATUS**

The attached statement is being submitted to establish small entity status in this

Application  
 Patent

by the

- Independent inventor(s) 37 CFR 1.9(c) and 1.27(b)  
 Non-inventor supporting claim by another 37 CFR 1.9(c) and 1.27(b)  
 Small Business Concern 37 CFR 1.9(d) and 1.27(c)  
 Nonprofit Organization 37 CFR 1.9(e) and 1.27(d)

Respectfully submitted,



DENNIS S. FERNANDEZ, ESQ.  
Reg. No. 34,160

**DENNIS & IRENE FERNANDEZ LLP**

**PATENT ATTORNEYS**  
2085 PORTOLA ROAD  
WOODSIDE, CA 94062-2639

(650) 529-9237  
(650) 529-9315 : FAX  
EMAIL: *iploft@iploft.com*

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number: FERN-P004

Applicant, Patentee, or Identifier: Fernandez et al.

Application or Patent No <TBA>

Filed or Issued: 1 SEPTEMBER 1998

Title: **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**

As a below named inventor(s), I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- the specification filed herewith with title as listed above.  
 the application identified above.  
 the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- No such person, concern, or organization exists.  
 Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

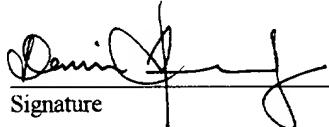
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.(37 CFR 1.28(b))

IRENE HU FERNANDEZ  
NAME OF INVENTOR

  
Signature

1 SEPTEMBER 1998  
Date

DENNIS SUNGA FERNANDEZ  
NAME OF INVENTOR

  
Signature

1 SEPTEMBER 1998  
Date

*Application*

*For*

*United States Utility Patent*

*Title:*

10

**ADAPTIVE DIRECT TRANSACTION  
FOR NETWORKED CLIENT GROUP**

*Inventors:*

15

**IRENE H. FERNANDEZ, residing at 2085 Portola Road, Woodside,  
California 94062, a citizen of the United States; and  
DENNIS S. FERNANDEZ, residing at 2085 Portola Road, Woodside,  
California 94062, a citizen of the United States.**

20

## **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**

### Field of Invention

Invention relates to networked computer applications, particularly to distributed client-server software for adaptive direct group transaction.

5

### Background of Invention

With the explosive growth of the Internet and its associated World-Wide Web, various computer programs have been developed for distributed applications between client and server processors interconnected through local and/or wide area networks. In particular, web-based software are provided variously for promoting, managing or 10 otherwise transacting business on-line. Thus, such electronic commerce applications are provided to facilitate more efficient marketing and distribution of goods and services. However, prior-art approaches at facilitating on-line commerce are limited, particularly with respect to enabling direct marketing, especially for multiple targets or client groups.

### Summary of Invention

15 Invention resides in software for directing on-line messages to classified client set adaptively according to monitored set characteristics. Memory stores set data associated with historically stored, currently measured, or preferred network configuration, on-line traffic, location, schedule, or affiliation. Clients are classified into sets per criteria for contextual mapping of particular sets to corresponding targeted on-line messages. Client 20 sensor interface provides mobile location, medical condition, or other attribute for adaptive classification of client into sets by comparing attribute to set groupings. Updated client classification provides adaptive context mapping of sets to directed transactions.

Brief Description of Drawings

FIG. 1 is general block diagram for network system implementing present invention.

5 FIG. 2 is logic flow diagram of operational methodology for implementing present invention.

FIG. 3 is database diagram according to present invention.

FIG. 4 is block diagram of client interface according to present invention.

10 FIG. 5 is flow chart of operational steps for group analysis overlay according to present invention.

FIG. 6 is flow chart of operational steps for network download dynamic display according to present invention.

FIG. 7 is flow chart of operational steps for directed offering transaction according to present invention.

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### Detailed Description of Preferred Embodiments

FIG. 1 block diagram shows network system 4 having one or more server processors or nodes 2 and one or more client processors or nodes 10, 20, 30, coupled thereto preferably according to standard Internet Protocol (IP) or other conventional digital networking and data communications scheme, which publicly available specifications are hereby referenced, as appropriate.

Preferably, client 10 represents network interface for vehicular or other mobile processing application, client 20 represents network interface for medical or other 10 personal processing application, and client 30 represents network interface for appliance or other embedded processing application.

It is contemplated herein that network 4 may be embodied in conventional and/or proprietary, wired and/or wireless, hardware and/or software, integrated and/or modular 15 means for sending and receiving digital data and/or electronic signals between processors, nodes or other addressable network sites coupled thereto. Moreover, it is contemplated that server or client processing functionality may be embodied in one or more processing machines or devices, and a single processing machine or device may perform functionality of multiple server and/or client processors.

20

FIG. 2 flow chart shows process for network configuration and resource control 40, client interface and secure access 50, operational processing and sensing 60, network

download and dynamic display 70, group analysis overlay 80, directed offering and transaction 90, and database access 100.

- In accordance with present invention, network 4, including server(s) 2 and client(s)
- 5 10, 20, 30 employ software and/or other functionally equivalent firmware, hardware, or electronics for directing or targeting on-line messages or electronic signals to selected or classified client set or group adaptively or dynamically according to monitored or specified set characteristics or attributes. Preferably, such software functionality is implemented using embedded or real-time operating (RTOS) code convention, JAVA, C/C++,
- 10 Windows/CE, or other equivalent digital signal processing instruction scheme, according to operational definition described herein.

Such software or functionality may use or cooperate for read/write operations with one or more digital memory or functionally equivalent network-accessible electronic storage to store data or attribute signals about one or more client 10, 20, 30 associated with previously stored, currently measured, or preferred network or node configuration, on-line network traffic, actual location, schedule events, or subscribed or qualified affiliation.

- 20 Preferably, clients 10, 20, 30 are classified into or otherwise associated with sets, super-sets, sub-sets, groups, super-groups, sub-groups, or other hierarchical category according to pre-specified or dynamically defined criteria for qualification therein.

Particular set or sets may be logically mapped, assigned contextually or otherwise related to one or more corresponding targeted on-line message or electronic network signals, as described herein. In particular, client sensor interface may provide various monitored still images, live video, audio, states, data or attribute signals representative thereof, such as

5 mobile location, medical condition, or other detectable attribute for adaptive or responsive classification of subject client into set(s) by comparing attribute and classifying appropriately into set groupings. For example, sensor may include one or more keyboard, screen or mouse entry, microphone, digital imaging or video camera, or position locator or navigational electronics, such as Global Positioning Satellite system (GPS) receiver

10 functionality to provide certain dimensional and temporal (i.e., time and place) signals and values.

Additionally, client interface user interface is preferably implemented as dial-up or dedicated network connection web browser software, which provides secured access

15 according to authenticated and/or encrypted user identification or messages. Optionally, user identification may be achieved by client interface determination of unique user physical or biological characteristic, such as sensor-sampled or input-verified personal genetic sequence.

20 Moreover, updated or modified client classifications effectively provide adaptive or dynamic context mapping of sets to directed transactions, messages, or signals

representative thereof. For example, directed message, transaction or network signal may include commercial offering, application program, still image, or video stream.

Hence, during software operation of preferred implementation, one or more client  
5 attribute or signal is determined or generated initially, so that subject client may be  
classified in a set according to subject attribute (i.e., currently monitored or generated  
attribute signal); then, a message or transaction signal representative thereof is sent to  
clients classified in that set. Generally, subject attribute or generated signal may represent  
one or more monitored signals, data, or values, such as client location, elapsed or actual  
10 time, client or user entry selection, physical, mechanical, medical or other objective  
condition, as well as any affiliation or subscription associated with subject client.

More particularly, subject attribute value or signal may be provided by one or  
more client sensor, wherein such attribute is provided in digital memory or functionally  
15 equivalent electronic storage. Client may be classified by comparing the attribute with  
another attribute stored in memory to determine equivalence or non-equivalence, such that  
client is classified or not classified in the set, for example, according to pre-determined  
substantial similarity determined therebetween.

20 Additionally, a second attribute of the client may be determined, wherein the client  
then may be classified in another or same set according to the second attribute. Another  
or same message or transaction signal may be sent to one or more clients classified in the

second set. Moreover, a second attribute may be determined for another client, wherein such other client is classified in the second set according to the second attribute, and a second message or transaction signal is thereby sent to clients classified in the second set.

5 FIG. 3 diagram represents database 100 of base server(s) 102, user client(s) 104,  
traffic operations 106, and group registry 108, which are one or more separately or  
collectively stored or accessible object-oriented and/or relational information modules or  
other networking or processing system cache or repositories, value or signals  
representative of functional activity associated respectively with one or more servers 2,  
10 client interfaces 10, 20 30, network 4 communications and configurations, and client set  
groupings, as described herein.

In particular, base server data module 102 includes electronically-accessible expert,  
service catalog, knowledge library, or other on-line or user self-service resource pool; and  
15 network-downloadable text, audio, still images, video clips or streams; or any update or  
revision thereof. User client or sensor site module 104 includes network client or server  
connection and configuration; client and/or group identifier or reference indicator;  
network usage or transaction history or pattern; monitored or sensed client attributes  
(e.g., time, location, temperature, available resources, etc.); client sensor configuration,  
20 status and condition, or client specified or inferred preferences.

Traffic operations or network manager module 106 includes client or sensor sites set-up sequence, configuration or log; security or authentication sequence, configuration or log; data transfer or signal transmission download or communication sequence, configuration or log; offering transaction sequence, configuration or log; and network 5 operation or performance monitor or log. Client or user group or set registry module 108 includes group or set search interface sequence, configuration or log; user or client attribute tracking or monitoring; group or set classifications or criteria; or context mapping or other directed message association.

10 FIG. 4 diagram shows client or site interface 10, 20, 30, including interface; coupling or application, controller or embedded processor; storage, cache or memory; and one or more network-accessible sensors. Such included client functionality may be provided in one or more integrated, programmable, reconfigurable, electronic devices, circuit, firmware, software and/or other equivalent implementation. Preferably controller 15 14 is implemented as programmed embedded digital signal processor, microprocessor, or reduced instruction set computing (RISC) microcontroller, such as commercial parts: 43001 from NEC Electronics (Santa Clara, CA), 4640/4650 from Integrated Device Technology (Santa Clara, CA), R5000/R8000/10000 from MIPS Technology (Mountain View, CA), PPC603/604 from IBM Microelectronics (Hopewell Junction, NY) and 20 Motorola (Austin, TX), 486DX4/486DX5 from Advanced Micro Devices (Austin, TX), PentiumPro/PentiumII from Intel (Chandler, AZ), UltraSPARC II/III from Sun

Microsystems (Mountain View, CA), or Alpha 21164/21264 from Digital Equipment (Maynard, MA).

Generally, present embodiment may be implemented and/or performed using any digital local or wide-area network 4 wherein one or more addressable nodes (i.e., client and/or server) are coupled thereto for communication or transmission of packets, cells, frames, signals, or other electronic messages therebetween. Preferred network uses so-called Internet convention and World-Wide Web networking protocol for sending files, for example, according to specified formats, such as hypertext file transfer protocol (HTTP), universal resource locator (URL), hypertext markup language (HTML), extensible markup language (XML), transmission control protocol/Internet protocol (TCP/IP), etc. Thus, in this network arrangement, one or more servers and/or clients may remotely or locally access one or more servers coupled directly or indirectly thereto.

Initially, for functional operation of present embodiment, one or more client interface 10, 20, 30 is coupled to network 4, and such network is configured 40 wherein one or more informational expertise or data signal resources, such as provided centrally or distributedly by one or more modules 102, 104, 106, 108 in database 100.

For example, during or after initial set-up, resource pool in base server 102 may specify one or more expertise repositories or catalogs which may provide client-requested or downloadable text, audio, video, or other digital data. Moreover, during or after initial

set-up, user client database 104 may be updated to indicate network connectivity and configuration between any servers and/or clients coupled thereto, as well as connectivity and configuration of any sensor or equivalent devices associated with one or more such clients. Furthermore, during or after initial set-up, user client database 104 may indicate 5 one or more user-preferred network configuration, transaction selection, or sensor-related attribute. Additionally, during or after initial set-up, traffic operations module 106 may indicate client setup, network configuration, and security access parameters. In addition, during or after initial set-up, group registry module 108 may indicate client tracking state and client classification or grouping.

10

Preferably, one or more client interfaces 10, 20, 30 are configured and coupled in accordance with secure access channel and protocol 50 to network 4 to provide selectable access to one or more sensor 18 associated with particular client. Depending on client interface type, application or specific embodiment, for example, client interface 10 may 15 serve as network interface for non-fixed pedestrian, vehicular or other moving processing site; client interface 20 may serve as network interface for personal, patient, medical or other tele-medicine computing or communications environment; or client interface 30 may serve as network interface for multimedia equipment, residential appliance, office processing equipment, or other embedded controller or local processing application.

20

Hence, when client-server network is configured 40 for controlling expert resource or database access, and various client interface and sensors are coupled and accessible

securely 50 thereto, then one or more applications programs may execute, preferably according to client and corresponding sensor implementation type, according to present invention to enable effectively adaptive direct transaction or messaging for one or more networked client group.

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Sensor input signals from one or more client sites may be received continuously, scheduled at regular times, triggered by specified alarms or conditions, selectively activated by client or server, or adaptively or proportionately increased or decreased in sensing activity according to pre-specified or associated attributes, current related activity, or  
10 specified or monitored client group or set conditions or monitored activity.

In embodiment case of vehicle client interface 10, operational processing and sensing uses one or more microprocessor or embedded controller 14 electronically to monitor, diagnose and/or control data signals, alarm or out-of-specified range condition,  
15 pre-specified states, or other objectively detectable or attributes. Preferably, such sensed signal monitoring process is achieved using one or more local or embedded processing programs or applications provided in storage 16 executable by controller 14 for real-time access of one or more sensors or other signal feedback detector coupled thereto, such as temperature, pressure, accelerometer or movement sensors, (such as commercial  
20 integrated silicon or micromachined parts: AD741X and AD781X temperature sensors from Analog Devices (Norwood, MA), LM80, LM56, LM75 thermal sensors from National Semiconductor (Santa Clara, CA), XTR106 pressure sensors from Burr-Brown

(Tucson, AZ), MPX10/50/100/2010/210d0/2700/5010/5006/5100/5700 pressure sensors from Motorola (Phoenix, AZ), or 7257AT accelerometer sensor from Endevco (San Juan Capistrano, CA), 40PC/4000PC pressure sensor from Honeywell (Freeport, IL), 19(C,U)005G pressure sensor from Sensym (Milpitas, CA), Titan pressure sensor from 5 Lucas Control Systems (Hampton, VA), DMU Turbo accelerometer sensor from Crossbow Technology (San Jose, CA), or MAP1452/XKP1260 pressure transducers from Integrated Sensor Solutions (San Jose, CA)).

It is contemplated that such client sensors 18 may be implemented in automotive,

10 trucking or other terrestrial, airborne and/or marine transport systems or subsystems, such as mechanical (e.g., internal combustion engine timing, mechanical linkage stress or strain, transmission, and related drive train monitor, vehicle braking or brake anti-locking, fuel delivery and storage, passenger restraint, emergency condition, seatbelt securement or airbag deployment, or impact detection, diagnosis and/or control thereof), and/or electrical 15 (e.g., engine ignition, lighting, thermal cooling/heating, entertainment, communication, dispatching, or navigational appliance or device, and/or other electronic module monitor, diagnosis and/or control thereof), etc.

In embodiment case of personal or patient client interface 20, operational

20 processing and sensing uses one or more microprocessor or embedded controller 14 electronically to monitor, diagnose and/or control data signals, alarm or out-of-specified range condition, pre-specified states, or other objectively detectable or attributes through

one or more sensors or other signal feedback detector, as described herein. Preferably, such sensed signal monitoring process is achieved using one or more local or embedded processing programs or applications provided in storage 16 and executable by controller 14 for real-time access of one or more sensors or other signal feedback detector coupled thereto.

It is contemplated that such client sensors 18 may be implemented in remote clinical, biometric, ambulatory medical, consultation, monitoring or communications systems or subsystems, particularly record-forwarding, patient-communication and observation, patient vital measurements, radiograph and other diagnostic image-transmission, for various specialties, such as radiology, dental, cardiorespiratory, constitutional, dermatology, ear-nose-throat, gastrointestinal, genitourinary, gynecological, musculoskeletal, neuropsychiatric, etc.

Optionally, sensors 18 may serve to monitor or identify client-provided or specified organic material, particularly by obtaining probed or receiving analyzed input of one or more genetic sequence data of deoxyribonucleic acid (DNA) or protein of subject client, for example, for subsequent database alignment and/or comparison for similarity or matching against known identifiable sequences stored in database 100.

In embodiment case of office, home or school appliance client interface 30, operational processing and sensing uses one or more microprocessor or embedded

controller 14 electronically to monitor, diagnose and/or control data signals, alarm or out-of-specified range condition, pre-specified states, or other objectively detectable or attributes through one or more sensors or other signal feedback detector, as described herein. Preferably, such sensed signal monitoring process is achieved using one or more 5 local or embedded processing programs or applications provided in storage 16 and executable by controller 14 for real-time access of one or more sensors or other signal feedback detector coupled thereto.

It is contemplated that such client sensors 18 may be implemented for accessing,  
10 communicating with, monitoring, and controlling operations in multimedia entertainment, home or small office automation equipment, residential appliance devices, systems or subsystems, such as digital video disk players or recorders, personal computers, printers, copiers, fax machines, digital television, set-top boxes, security monitoring and alarm, etc.  
  
15 Preferably, such electronic sensor-implementing components employ controller 14 processing code to interface to network 4 for sensor and interface access, signaling and control according to communication or signaling protocol, such as universal serial bus (USB), IEEE 1394 (i.e., FireWire), or other similar comparable interface specification. For example, such preferred interface for client appliance interface 30 complies with home  
20 audio/video interoperability (HDMI) architecture, which published specification is hereby incorporated by reference.

According to application program execution during operational processing and sensing 60, as described herein, client or user input and/or output (I/O) interface is provided, particularly to deliver signal or data download from network using dynamic display mechanism 70. Informational download, such as text (e.g., ASCII or Word processor format), audio (e.g., Real Audio format), still image (e.g., joint picture experts group (JPEG), 2 or 3 dimensional format), video (e.g., moving picture experts group (MPEG), or other catalog, expertise data pool, resource files or electronic digital material, are accessed from database 100 modules 102, 104, 106, 108. Optionally, such network client interface includes web browser software, such as available commercially from Microsoft (e.g., Internet Explorer) or Netscape (e.g., Communicator / Navigator).

FIG. 6 flow chart shows group download display embodiment, wherein one or more servers initially setup to determine one or more clients associated with or belonging to specified sets or groups, thereby updating database client grouping 104, database registry client tracking 108, as well as any database traffic operation client setup and network configuration 106.

In particular, such determining server(s) may create and maintain current group or task manager, preferably as data table or system process to identify and monitor communications with or other network download to specified group members. Thus, based on initial client-server parameter setup, as well as subsequent updates thereto, accessing such task manager may provide effectively real-time organization of multi-

member grouping data, and facilitates relatively fast informative response to authorized client or server query to determine current group definitions and members actively categorized therein. Additionally, such task manager program may serve to balance processing between group members, for example, such that directed messages or other transaction offerings are delivered more frequently or earlier to less-busy or higher-processing capacity client sites, as indicated in current database 100.

Further, display downloading scheme 70 includes faster-memory caching 74 of relatively larger data files, such as still image (e.g., .GIF, .JPG) and compressed video (e.g., MPG) files from database module download library 102. In addition, display downloading may include feedback signaling or equivalent communication 76 from one or more subject clients, which belong to common group client members, to provide accelerated current group membership indication to like group members. In this feedback-loop manner, group members may relatively quickly be alerted and display appropriate membership or non-membership status.

Preferably, network download dynamic display operations 70 provide subject server or client relatively high-resolution, flat-panel screen output with interactive multi-media capability (i.e., text, audio, still image, video, 3-D graphic or virtual media format, etc.), for example, using personal computer equipment or engineering workstation with processing encoded and compressed media signals, or interactive digital television having network-ready Internet or equivalent communications interface and applications protocol.

Optionally, particular client may select to screen, block, filter or exclude from receiving one or more classes or attributes of incoming directed messages, such as undesirable commercial or immoral content.

5 FIG. 5 flow chart shows operational steps for group analysis or system overlay thereof, according to important aspect of present invention, generally wherein Internet-based client interface 10, 20, 30 and associated database 100 effectively provide group analysis processing to monitor client-server web traffic and deliver direct marketing services to client group. As per alternative instances described herein, client car, patient, 10 office or school sensors and interfaces provide attribute processing system overlay for database comparison to classify usage pattern, location, timing, or family for targeted messaging for enhanced service from server source. Database 100 group registry tracks client classification and provides adaptive context mapping according to set attribute relative to targeted on-line transaction.

15 Generally, group analysis 80 may be invoked automatically upon schedule or per directed request, thereby operating to determine groupings by comparing sensed 60 operational values with associated values stored in database 100. When group analysis 80 and subsequent directed offering transaction operations 90 are so invoked, for example, by 20 network client or server with proper requesting authorization, preferably, one or more candidate client sites 10, 20, 30 are identified accordingly for classification. For example, in case of vehicle client interface 10, one or more clients having certain sensed or specified

characteristics or other attributes, such as having certain serial or model numbers, tracked geographic location, etc., may be designated as candidate sites when considered for possible vehicle or product defect, repair, upgrade, or recall.

- 5       Initially, to perform proper group analysis, subject server 2 (or other network processor with access to database 100 and one or more candidate clients for present comparison) examines database 100, which may be implemented in one or more network-accessible data repositories, to determine 82 existence of any specified supergroups, groups, subgroups, in present network, and particularly search database modules 104, 108
- 10      recognize any such grouping which includes client to be evaluated for membership. Moreover, such subject server 2 may further search such database modules 104, 108 to determine and monitor existence of any or each sensor and characteristics thereof associated with each subject client for evaluation.
- 15      Then, database compare and set classify operations 84 are performed by subject server 2, whereby representative attributes or other sensed characteristics of candidate client(s) are logically compared to equivalent data field representations of other pre-registered or tracked clients in database 100 to determine matching or recognize substantial qualification for set groupings or non-groupings. Group registry 108 provides
- 20      functional or graphical interface for searching fields for client and sensor attributes.

- For example, in case of patient client interface 20, candidate patient sensed or specified attributes, such as geographical location, demographic family, race or ethnicity, medical insurance coverage, age, sex, etc. may be compared against other clients to generate certain groupings for subsequent targeted messaging or commercial offerings.
- 5     Similarly, in case of appliance client interface 30, candidate appliance sensed or specified attributes, such as appliance model number, multimedia play-back capacity, entertainment preferences, usage pattern, budget allowance, schedule availability, etc. may be compared against other client to generate certain groupings. Upon candidate grouping classification, database 100 modules 104, 108 may be updated to reflect client membership accordingly.

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Optionally, to provide network system client grouping scalability, when candidate client is determined not to be classifiable as analyzed, subject server 2 may modify group registry 108 to define set changes and create new super-group, group, or sub-group, as required by subject server.

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Preferably, database registry 108 provides group classification with corresponding context mapping or topic relevance matching, thereby enabling directed matching for adaptive messages 86. Although such context mapping may be applied in case-specific manner, wherein specific rules or requirements for defining groups or clients having 20 certain specified or sensed attributes are designated to receive targeted message broadcast, preferably, such context mapping may achieved using less precise qualification scheme, such as fuzzy or statistical logical or topical association to generate list of possible

candidates for targeted messaging. Upon completion of such context mapping, group registry 108 is updated. Additionally, context mapping scheme may be adapted to focus target candidates or reduce such directed client list for more precise marketing effect, preferably in response to real-time specified or sensed group or client attributes.

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FIG. 7 flow chart shows directed offering transaction operation 90, wherein subject server 2 (or alternate network processor) generates and/or sources one or more directed or targeted message, which may include commercial, promotional, or marketing symbol, audio, text, still image, video, or other media content or signal for context-  
10 mapped or other designated clients within specified grouping(s). In particular, such directed messages may be downloaded from module 102, or other network source, and transmitted 92 through network 4 to designated client sites according to database 100 user client and grouping configurations and network connections specified in module 104, whereupon database 100 traffic operations module 106 is appropriately updated or  
15 reconfigured to reflect completed messaging.

Optionally, such directed messaging may be invoked by subject server 2 in response to one or more network searches or queries 92, for example, from other authorized server or client, or network search agent software application or process  
20 thereof, accessing group registry module 108 search interface to locate or identify one or more target groupings or clients therein, which qualify under certain specified or sensed

attributes. Directed transactional messages 70 may be sent to targeted grouping(s), as well as client members therein, for prompt network download and display 70.

- Furthermore, in auction style or similar bidding procedure, one or more such
- 5 searching or querying network nodes or sources, or client members in particular grouping, may be designated or qualified to participate in on-line bidding or auction transaction, whereby highest price or other parameter bidder is provided specified merit rights or transaction.
- 10 In addition, when one or more such searching or querying network node or sources, or client members in particular grouping, is so designated or qualified, customized commercial terms, for example, for transacting so-called micro-sale or comparable limited per-use service billing 96 may be charged to such on-line customer according to actual network distribution or execution of transacted application service. In
- 15 such micro-sale transaction, subject server 2 may prioritize access or directed messaging resources to targeted clients to achieve group balancing, whereby network computing performance, database resource access, and/or application or other service delivery are optimized.
- 20 Foregoing described embodiments of the invention are provided as illustrations and descriptions. They are not intended to limit the invention to precise form described. In particular, Applicants contemplate that functional implementation of invention

described herein may be implemented equivalently in hardware, software, firmware, and/or other available functional components or building blocks. Other variations and embodiments are possible in light of above teachings, and it is thus intended that the scope of invention not be limited by this Detailed Description, but rather by Claims following.

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Rev. 9/1/98

Claims

What is claimed is:

- 5           1. In a network comprising a server coupled to one or more clients, a method for  
enhancing on-line commerce comprising the steps of:  
              determining by a server an attribute of a client;  
              classifying the client in a set according to the attribute; and  
              directing a message by the server to one or more clients classified in the set.
- 10           2. The method of Claim 1 wherein:  
              the attribute comprises a monitored location, time value, selection, condition, or  
affiliation associated with the client.
- 15           3. The method of Claim 2 wherein:  
              the attribute is provided by one or more client sensor.
- 20           4. The method of Claim 1 wherein:  
              the attribute is provided in a memory, and the client is classified by comparing the  
attribute with another attribute stored in the memory.
- 25           5. The method of Claim 1 wherein:

the client is classified in the set according to a determined substantial similarity

6. The method of Claim 1 further comprising the steps of:

determining by the server a second attribute of the client;

5        classifying the client in a second set according to the second attribute; and

directing a second message by the server to one or more clients classified in the

second set.

7. The method of Claim 1 further comprising the steps of:

10      determining by the server a second attribute of a second client;

classifying the second client in the set according to the second attribute; and

directing a second message by the server to the clients classified in the set

8. The method of Claim 1 wherein:

15      the message comprises a commercial offering, an application program, a still

image, or a video stream.

9. A client for coupling to a server in a network, the client comprising:

an interface; a processor; and a sensor;

20      wherein the interface is accessible by a server coupled to a network, whereby the

processor may provide the network access to a signal generated by the sensor; the

interface being classifiable in a set according to the signal, the interface receiving a network signal according to the classified set.

10. The client of Claim 9 wherein:
- 5       the generated signal represents a monitored location, time value, selection, condition, or affiliation associated with the client.

11. The client of Claim 9 wherein:
- the generated signal is stored in a database, and the interface is classified by
- 10     comparing the generated signal with another generated signal stored in the database.

12. The client of Claim 11 wherein:
- the generated signal is compared with the other generated signal to determine a substantial similarity or recognizable pattern therebetween.

- 15
13. The client of Claim 9 wherein:
- the processor may provide the network access to a second signal generated by the sensor; the interface being classifiable in a second set according to the second signal, the interface receiving a second network signal according to the second set.

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14. The client of Claim 9 wherein:

the network signal comprises a commercial offering, an application program, a still image, or a video stream.

15. The client of Claim 9 wherein:

5           the sensor comprises a global positioning satellite system (GPS) receiver for determining a position of the client.

16. The client of Claim 9 wherein:

10          the interface further comprises a web browser application for accessing the network.

17. The client of Claim 16 wherein:

the network access through the web browser application is secured by the sensor determining a genetic identification of a user of the web browser application.

15

18. The client of Claim 9 wherein:

the interface sends a transaction signal in response the a network signal.

19. A networking method for coupling a plurality of nodes, the networking  
20       method comprising:

receiving an attribute signal from a first node;

transmitting the attribute signal to a second node for classifying the first node in a group according to the attribute signal;  
receiving a message signal from the second node; and  
transmitting the message signal to one or more nodes classified in the group.

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20. The networking method of Claim 19 wherein:  
receiving a second attribute signal from a third node;  
transmitting the second attribute signal to the second node for classifying the third node in the group according to the second attribute signal;  
10 receiving a second message signal from the second node; and  
transmitting the second message signal to one or more nodes classified in the group.

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# ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP

## Abstract

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Internet-based software and associated database

provide group analysis overlay to monitor client-server web traffic and provide direct marketing to client group. Client car, patient, office or school sensor and interface provides overlay attribute for database comparison to classify usage pattern, location, timing, or family for targeted messaging for enhanced service from server source. Database group registry tracks client classification and provides adaptive context mapping according to set attribute relative to targeted on-line transaction.

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## DECLARATION FOR PATENT APPLICATION

Docket No: FERN-P004

As a below named inventor, I hereby declare that:

My residence, postal address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original first and joint inventor (if multiple names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**

the specification of which is attached hereto unless the following information is indicated:

       was filed on \_\_\_\_\_;  
as United States Application Number or PCT International Application Number \_\_\_\_\_;  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendments referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified, as so indicated below, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)	Priority Claimed
<u>(Application No.)</u> <u>(Country)</u>	<u>(Day/Month/Year Filed)</u> Yes <u>      </u> No <u>      </u>
<u>(Application No.)</u> <u>(Country)</u>	<u>(Day/Month/Year Filed)</u> Yes <u>      </u> No <u>      </u>

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

<u>(Application No.)</u>	<u>(Day/Month/Year Filed)</u>
--------------------------	-------------------------------

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. 1.56 that became available between the filing date of the prior application and the national or PCT International filing date of this application.

<u>(Application No.)</u>	<u>(Day/Month/Year Filed)</u>	<u>(Status - patented, pending, abandoned)</u>
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Please send Correspondence to:

**DENNIS & IRENE FERNANDEZ LLP**  
**PATENT ATTORNEYS**  
**2085 PORTOLA ROAD**  
**WOODSIDE, CA 94062-2639**

Or Email Address: *iploft@iploft.com*

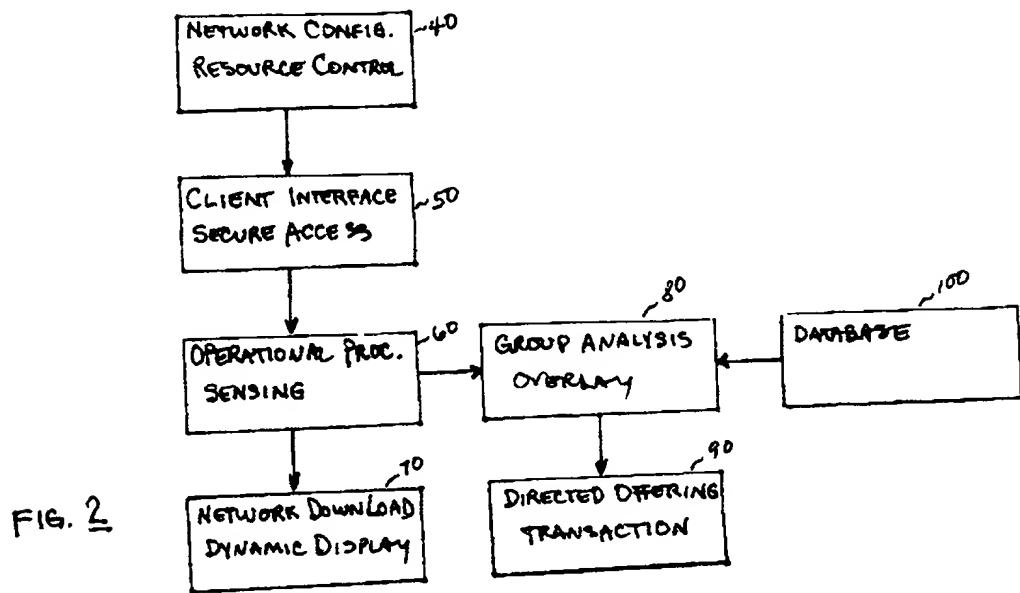
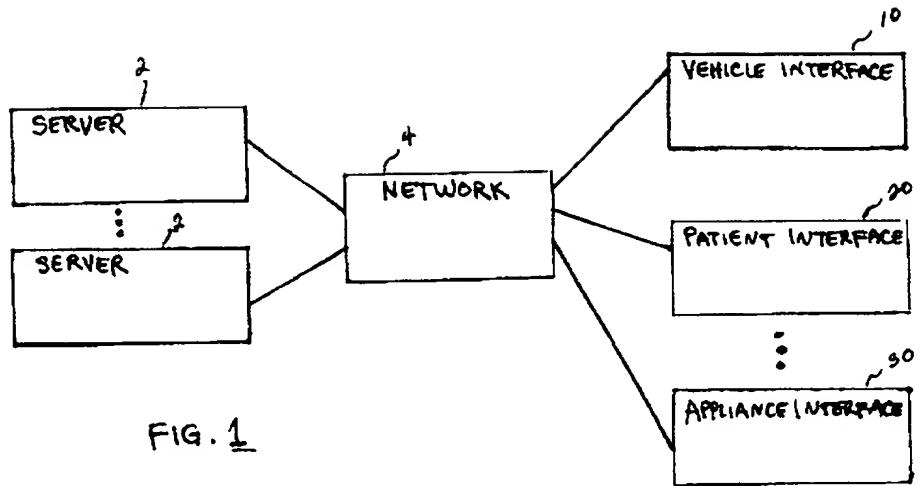
Direct telephone calls to:  
**(650) 529-9237**

Or FAX: **(650) 529-9315**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and beliefs are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: <b>IRENE HU FERNANDEZ</b>	
Inventor's signature <i>Irene H. Fernandez</i>	Date 9/1/98
Residence: <b>2085 Portola Road, Woodside, CA 94062</b>	
Citizenship: <b>United States</b>	
Postal Address: same as Residence	

Full name of joint inventor: <b>DENNIS SUNGA FERNANDEZ</b>	
Inventor's signature <i>Dennis S. Fernandez</i>	Date 1 September 1998
Residence: <b>2085 Portola Road, Woodside, CA 94062</b>	
Citizenship: <b>United States</b>	
Postal Address: same as Residence	



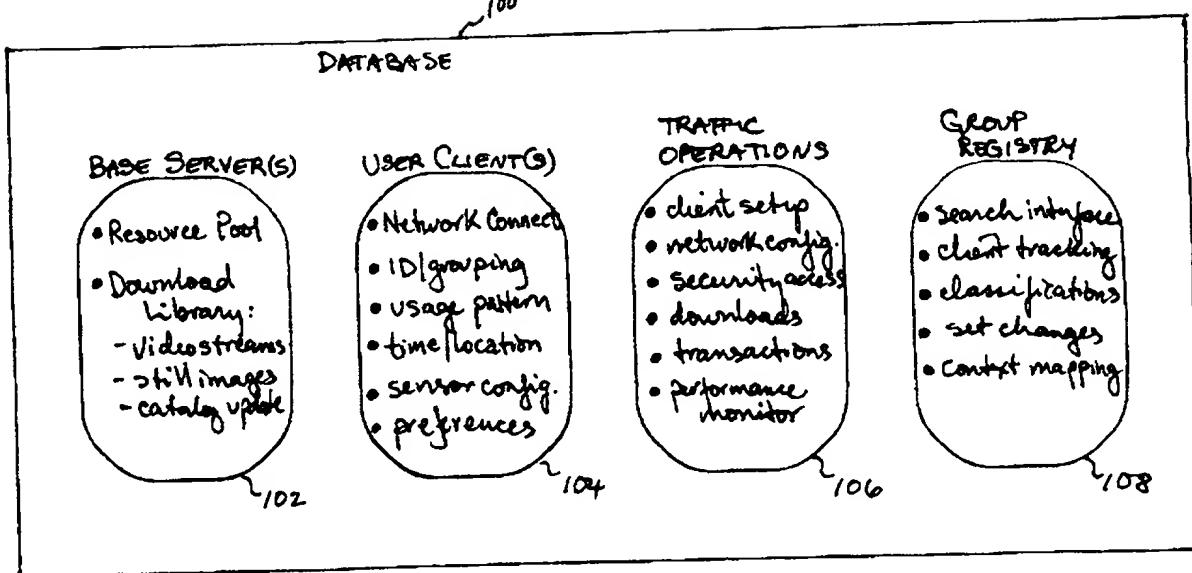


FIG. 3

10, 20, 30

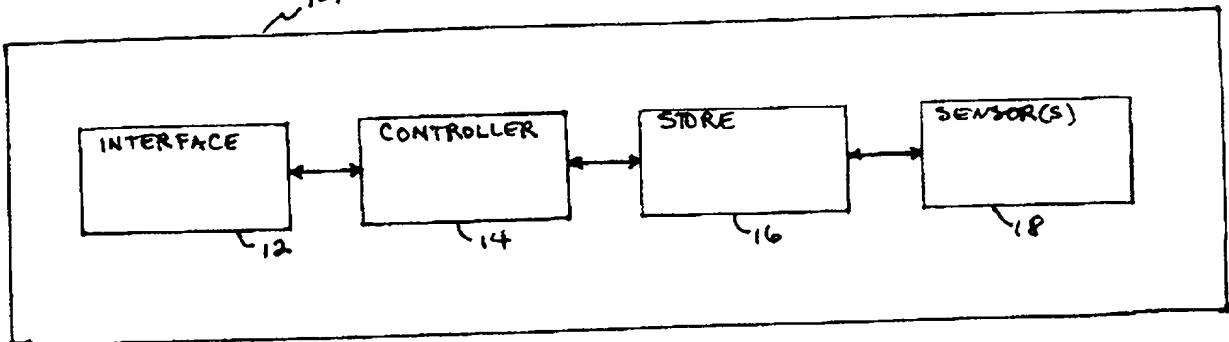
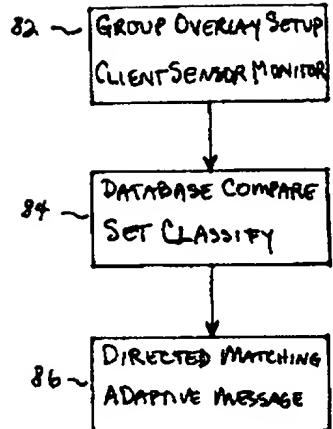
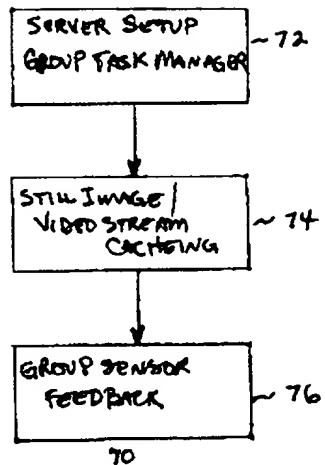


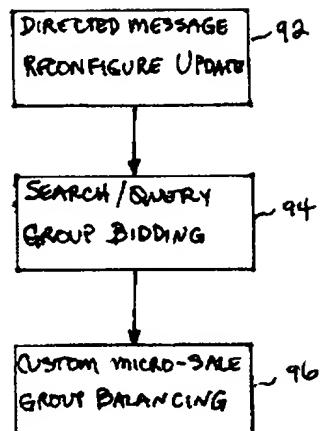
FIG. 4



80  
FIG. 5



70  
FIG. 6



90  
FIG. 7